

Under the Paperwork Reduction Act of 1994, no person is required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

## APPLICATION ELEMENTS

*See MPEP chapter 600 concerning utility patent application contents.*

- |   |  |
|---|--|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form<br/><i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Small Entity Status is hereby asserted.</p> <p>3. <input checked="" type="checkbox"/> Specification<br/><i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> <li>- Descriptive title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to Sequence Listing, a table, or a computer program listing appendix.</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) <i>(35 USC 113)</i></p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration</p> <p>a.1. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>a.2. <input type="checkbox"/> Unexecuted</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))<br/><i>(for continuation/divisional with Box 18 completed)</i></p> <p>i. <input type="checkbox"/> <b><u>DELETION OF INVENTOR(S)</u></b><br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet (see 37 CFR 1.76)</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or computer program <i>(Appendix)</i></p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission<br/><i>(if applicable, all necessary)</i></p> <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form</li> <li>b. Specification Sequence Listing on:           <ul style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> Paper</li> </ul> </li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ul> |
| <b>ACCOMPANYING APPLICATION PARTS</b>   |  |
| <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i></p> <p>    <input type="checkbox"/> Power of Attorney</p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449</p> <p>    <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input checked="" type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)<br/><i>(if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).<br/>Applicant must attach form PTO/SB/35 or its equivalent..</p> <p>17. <input type="checkbox"/> Other</p>  |  |

#### **ACCOMPANYING APPLICATION PARTS**

- Assignment Papers (cover sheet & document(s))
  - 37 CFR 3.73(b) Statement (*when there is an assignee*)
    - Power of Attorney
  - English Translation Document (*if applicable*)
  - Information Disclosure Statement (IDS)/PTO-1449
    - Copies of IDS Citations
  - Preliminary Amendment
  - Return Receipt Postcard (MPEP 503) (*Should be specifically itemized*)
  - Certified Copy of Priority Document(s)
    - (if foreign priority is claimed)*
  - Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
    - Applicant must attach form PTO/SB/35 or its equivalent..
  - Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet:

II Continuation      II Divisional      II Continuation-in-part (CIP)      of prior application No.

*Prior Application Information:* Examiner \_\_\_\_\_ Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

By: Charles R. Watts  
Charles R. Watts  
Registration No. 33 142

**WENDEROTH, LIND & PONACK, L.L.P.**  
2033 "K" Street, N.W., Suite 800  
Washington, D.C. 20006-1021  
Phone: (202) 721-8200  
Fax: (202) 721-8250

November 14, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Toshiharu HAYASHI et al.

Serial No. NEW

Filed November 14, 2001

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

Attn: APPLICATION BRANCH

Attorney Docket No. 2001\_1697A

COVER FOR SECONDARY BATTERY

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$410.00 to cover Patent Office fees relating to filing the following attached papers:

New application .....	<u>\$370.00</u>
Assignment for Recordal .....	<u>\$ 40.00</u>
Additional Claims Fee:	
Excess of Twenty .....	\$
Independent .....	\$
Multiple Dependent Fee .....	\$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

*The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.*

Respectfully submitted,

Toshiharu HAYASHI et al.

By Charles R. Watts  
Charles R. Watts  
Registration No. 33,142  
Attorney for Applicants

CRW/asd

WENDEROTH, LIND & PONACK, L.L.P.  
2033 K St., N.W., Suite 800  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
November 14, 2001

[Check No. 47475]  
2001\_1697A

THE COMMISSIONER IS AUTHORIZED  
TO CHARGE ANY DEFICIENCY IN THE  
FEES FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Toshiharu HAYASHI et al. : Attn: APPLICATION BRANCH

Serial No. NEW : Docket No. 2001\_1697A

Filed November 14, 2001

COVER FOR SECONDARY BATTERY

TELETYPE 09087285-144702

SUBMISSION OF ENGLISH/JAPANESE  
DECLARATION AND POWER OF ATTORNEY

Assistant Commissioner for Patents,  
Washington, DC 20231

Sir:

The English language text contained in the attached Declaration and Power of Attorney is an accurate translation of the Japanese language text contained in the attached Declaration and Power of Attorney.

Respectfully submitted,

Toshiharu HAYASHI et al.

By Charles R. Watts

Charles R. Watts  
Registration No. 33,142  
Attorney for Applicants

CRW/asd  
Washington, D.C. 20006-1021  
Telephone (202) 721-8200  
Facsimile (202) 721-8250  
November 14, 2001